

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0763465  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/7/2017 10:19:16 AM  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** 1851 SECURITIES, INC.  
**Company ID:** 0763465  
**State of origin:** Delaware  
**Formation date:** 5/20/2010 12:00:00 AM  
**Date filed:** 6/7/2017 10:19:16 AM  
**Fee:** \$15.00

**Principal Office**

ONE AMERICAN ROW  
HARTFORD, CT 06102

**Registered Agent Name/Address**

CT CORPORATION SYSTEM  
306 W. MAIN STREET, SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

CFO	Gary C. Tebbetts	15 Tech Valley Drive, Suite 201, East Greenbush, NY 12061
President	Gina C. O'Connell	One American Row, Hartford, CT 06103
Secretary	Mary Kate Johnson	One American Row, Hartford, CT 06103
Executive	William B. Moorcroft	One American Row, Hartford, CT 06103

**Directors**

Director	Thomas M. Buckingham	One American Row, Hartford, CT 06103
Director	Gina C. O'Connell	One American Row, Hartford, CT 06103

Business size: Small  
Business type: Security & Commodity Brokers, Dealers, Exchanges & Services

**Signatures**

Signature	Mary Kate Johnson
Title	Secretary