

0763465

Alison Lundergan Grimes

KY Secretary of State

Received and Filed

5/16/2019 11:23:39 AM

Fee receipt: \$15.00

Commonwealth of Kentucky

Alison Lundergan Grimes, Secretary of State

Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report Online Filing

ARP

Company: 1851 SECURITIES, INC.
Company ID: 0763465
State of origin: Delaware
Formation date: 5/20/2010 12:00:00 AM
Date filed: 5/16/2019 11:23:39 AM
Fee: \$15.00

Principal Office

ONE AMERICAN ROW
HARTFORD, CT 06102

Registered Agent Name/Address

CT CORPORATION SYSTEM
306 W. MAIN STREET, SUITE 512
FRANKFORT, KY 40601

Current Officers

| | | |
|---------------------|----------------------|--------------------------------------|
| Assistant Treasurer | Anne M. Kaplan | One American Row, Hartford, CT 06103 |
| Assistant Treasurer | Nancy Torretta | One American Row, Hartford, CT 06103 |
| President | William B. Moorcroft | One American Row, Hartford, CT 06103 |
| Secretary | Hayley Maldonado | One American Row, Hartford, CT 06103 |
| Treasurer | Susan L. Guazzelli | One American Row, Hartford, CT 06103 |

Directors

| | | |
|----------|----------------------|--------------------------------------|
| Director | Thomas M. Buckingham | One American Row, Hartford, CT 06103 |
| Director | Susan L. Guazzelli | One American Row, Hartford, CT 06103 |
| Director | Hayley Maldonado | One American Row, Hartford, CT 06103 |
| Director | William B. Moorcroft | One American Row, Hartford, CT 06103 |

| | |
|----------------|---|
| Business size: | Small |
| Business type: | Security & Commodity Brokers, Dealers, Exchanges & Services |

Signatures

| | |
|------------------|------------------|
| Signature | Hayley Maldonado |
| Title | Secretary |