

Commonwealth of Kentucky
Trey Grayson, Secretary of State

PARP

0577466

Trey Grayson
Secretary of State
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Trey Grayson
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
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ARP

Company: PURSHE KAPLAN STERLING INVESTMENTS, INC.
Company ID: 0577466
State of origin: New York
Formation date: 1/28/2004 12:00:00 AM
Date filed: 3/19/2010 9:35:12 AM
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Principal Office

18 CORPORATE WOODS BLVD.
ALBANY, NY 12211

Registered Agent Name/Address

C T CORPORATION SYSTEM
4169 WESTPORT ROAD
LOUISVILLE, KY 40207

Current Officers

CEO	J. PETER PURCELL	18 CORPORATE WOODS BLVD., ALBANY, NY 12211
Vice President	PETER J SHEEHAN	18 CORPORATE WOODS BLVD., ALBANY, NY 12211
Vice President	MARY E SHEEHAN	18 CORPORATE WOODS BLVD., ALBANY, NY 12211

Directors

Director	John Peter Purcell	18 Corporate Woods Blvd., Albany, NY 12211
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Signatures

Signature	J. Peter Purcell
Title	CEO