Commonwealth of Kentucky Michael G. Adams, Secretary of St.

0577466 Michael G. Adams KY Secretary of State Received and Filed

6/27/2024 5:33:47 PM Fee receipt: \$15.00

Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing For the Year 2024

ARP

Company: PURSHE KAPLAN STERLING INVESTMENTS, INC.

Company ID: 0577466
State of origin: New York

Formation date: 1/28/2004 12:00:00 AM Date filed: 6/27/2024 5:33:17 PM

Fee: \$15.00

Principal Office 80 STATE STREET ALBANY, NY 12207

Registered Agent Name/Address

C T CORPORATION SYSTEM 306 W MAIN ST SUITE 512 FRANKFORT, KY 40601

Current Officers

Secretary Katherine Floutonin 80 State Street, Albany, NY, 12207 Officer J. Peter Purcell 80 State Street, Albany, NY, 12207

Directors

Director Katherine Floutonin 80 State Street, Albany, NY, 12207

Director Tracey L. Bohley 80 State Street, Albany, NY, 12207

County: Jefferson Business size: Small

Business type: Security & Commodity Brokers, Dealers, Exchanges & Services

Signatures

Signature Coty Bacon
Title Power of Attorney