

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
0438067
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
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ARP

Company: H.D. VEST INVESTMENT SECURITIES, INC.
Company ID: 0438067
State of origin: Texas
Formation date: 9/2/1997 12:00:00 AM
Date filed: 6/13/2017 3:06:23 PM
Fee: \$15.00

Principal Office

6333 NORTH STATE HWY 161
SUITE 400 Attn: Accounting Department
IRVING, TX 75038

Registered Agent Name/Address

Corporation Service Company
421 WEST MAIN STREET
FRANKFORT, KY 40601

Current Officers

President	Richard S Rawlins	6333 N. State Hwy 161, Suite 400 Irving, TX 75038
Secretary	Robert D Oros	6333 N. State Hwy 161, Suite 400 Irving, TX 75038
Treasurer	Christopher Rod	6333 N. State Hwy 161, Suite 400 Irving, TX 75038

Directors

Director	Robert D Oros	6333 N. State Hwy 161, Suite 400 Irving, TX 75038
Director	Neal Heifetz	6333 N. State Hwy 161, Suite 400 Irving, TX 75038
Director	Casey Griffin	6333 N. State Hwy 161, Suite 400 Irving, TX 75038

Signatures

Signature	Christopher Rod
Title	Treasurer