

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

PARP  
**0545767**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
1/27/2014 3:16:57 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** FIRST MIDWEST SECURITIES, INC.  
**Company ID:** 0545767  
**State of origin:** Wisconsin  
**Formation date:** 10/4/2002 12:00:00 AM  
**Date filed:** 1/27/2014 3:16:57 PM  
**Fee:** \$15.00

**Principal Office**

207 WEST JEFFERSON STREET  
SUITE 102  
BLOOMINGTON, IL 61701

**Registered Agent Name/Address**

INCORP SERVICES, INC.  
828 LANE ALLEN ROAD  
SUITE 219  
LEXINGTON, KY 40504

**Current Officers**

CEO	James Linna	501 W. Broadway, Suite 800 San Diego, CA 92101
President	Eric Hagler	2901 West Coast Highway, 2nd Floor, Newport Beach, CA 92663

**Directors**

Director	James Linna	501 W. Broadway, Ste. 800 San Diego, CA 92101
Director	David Nevinski	1233 N Mayfair Rd Ste 211 Milwaukee WI 53226
Director	Eric Hagler	2901 West Coast Highway, 2nd Floor, Newport Beach, CA 92663
Director	Kelly Sutton	207 W. Jefferson Street, Suite 102 Bloomington, IL 61701

**Signatures**

<b>Signature</b>	Kettra Baker
<b>Title</b>	Registration Director/Compliance Officer