

Commonwealth of Kentucky
Trey Grayson, Secretary of State

0198269

Trey Grayson
Secretary of State
Received and Filed

6/2/2009 9:07:44 AM

Fee receipt: \$15.00

PARP

Trey Grayson
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
Web Filing

ARP

Company: TOWER SQUARE SECURITIES, INC.
Company ID: 0198269
State of origin: Connecticut
Formation date: 2/15/1985 12:00:00 AM
date filed: 6/2/2009 9:07:44 AM
Fee: \$15.00

Principal Office

% CORPORATE TAX - 5PB
PO BOX 990027
HARTFORD, CT 06199-0027

Registered Agent Name/Address

C T CORPORATION SYSTEM
4169 WESTPORT ROAD
LOUISVILLE, KY 40207

Current Officers

Assistant Treasurer	James W. Koeger	13045 Tesson Ferry road, St. Louis, MO 63128
President	Craig W. Markham	13045 Tesson Ferry Road, St. Louis, MO 63128
Vice President	Steven J. Brash	1095 Avenue of the Americas, New York, NY 10036-6796
Secretary	Gwenn L. Carr	1095 Avenue of the Americas, New York, NY 10036-6796
Treasurer	Eric T. Steigerwalt	1095 Avenue of the Americas, New York, NY 10036-6796

Directors

Director	John J. Brett	485-E US Highway 1 S., Iselin, NJ 08830
Director	Craig W. Markham	13045 Tesson Ferry Road, St. Louis, MO 63128
Director	William J. Toppeta	1095 Avenue of the Americas, New York, NY 10036-6796

Signatures

Signature	Steven J. Brash
Title	Vice President