

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0438069  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
5/31/2013 3:40:58 PM  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
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ARP

**Company:** H.D. VEST ADVISORY SERVICES, INC.  
**Company ID:** 0438069  
**State of origin:** Texas  
**Formation date:** 9/2/1997 12:00:00 AM  
**Date filed:** 5/31/2013 3:40:58 PM  
**Fee:** \$15.00

**Principal Office**

6333 NORTH STATE HWY 161  
SUITE 400 Attn: Accounting Dept  
IRVING, TX 75038

**Registered Agent Name/Address**

CSC-LAWYERS INCORPORATING SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Current Officers**

President	Roger C. Ochs	6333 N. State Hwy 161, Suite 400 Irving, TX 75038
Assistant Secretary	Jeff Klein	6333 N. State Hwy 161, Suite 400 Irving, TX 75038
Assistant Secretary	Neal Heifetz	6333 N. State Hwy 161, Suite 400 Irving, TX 75038
Assistant Secretary	Brian A. Stern	6333 N. State Hwy 161, Suite 400 Irving, TX 75038
Assistant Secretary	Casey Griffin	6333 N. State Hwy 161, Suite 400 Irving, TX 75038
Secretary	Roger C. Ochs	6333 N. State Hwy 161, Suite 400 Irving, TX 75038
Treasurer	Joel V Bennett	6333 N. State Hwy 161, Suite 400 Irving, TX 75038

**Directors**

Director	Roger C. Ochs	6333 N. State Hwy 161, Suite 400 Irving, TX 75038
Director	Marshall Bartlett	500 Boylston St., Suite 1640, Boston, MA 02116
Director	Andrew Dodson	Four Embarcadero Center, Suite 3610 San Francisco, CA 94111

**Signatures**

<b>Signature</b>	Joel Bennett
<b>Title</b>	Treasurer