

Commonwealth of Kentucky
Elaine N. Walker, Secretary of State

0603370
Elaine N. Walker
Secretary of State
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PARP

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Secretary of State
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Annual Report
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ARP

Company: STERNE, AGEE & LEACH, INC.
Company ID: 0603370
State of origin: Delaware
Formation date: 1/12/2005 12:00:00 AM
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Principal Office

800 SHADES CREEK PARKWAY
SUITE 550
BIRMINGHAM, AL 35209

Registered Agent Name/Address

NATIONAL REGISTERED AGENTS, INC.
400 WEST MARKET STREET
SUITE 1800
LOUISVILLE, KY 40202

Current Officers

Assistant Treasurer	BRIAN K BARZE	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
Chairman	JAMES S HOLBROOK, JR	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
CEO	JAMES S HOLBROOK, JR	800 Shades Creek Parkway, Suite 700, Birmingham, AL 35209
CFO	CONNER FRED WAGSTAFF, III	800 SHADES CREEK PKWY STE 550 BIRMINGHAM, AL 35209
President	JAMES S HOLBROOK JR	800 SHADES CREEK PKWY STE 700 BIRMINGHAM, AL 35209
Secretary	WILLIAM K HOLBROOK	800 SHADES CREEK PKWY STE 700 BIRMINGHAM, AL 35209
Treasurer	CONNER FRED WAGSTAFF, III	800 Shades Creek Parkway, Suite 550, Birmingham, AL 35209

Directors

Director	JAMES S HOLBROOK	800 SHADES CREEK PKWY STE 700 BIRMINGHAM, AL 35209
Director	BRIAN K BARZE	800 SHADES CREEK PKWY STE 700 BIRMINGHAM, AL 35209
Director	WILLIAM K HOLBROOK	800 SHADES CREEK PKWY STE 700 BIRMINGHAM, AL 35209
Director	J CARY COOPER	800 SHADES CREEK PKWY BIRMINGHAM, AL 35209
Director	JAMES F DIXON	800 Shades Creek Parkway, Birmingham, AL 35209
Director	B HANSON SLAUGHTER	800 Shades Creek Parkway, Birmingham, AL 35209
Director	RYAN C MEDO	800 Shades Creek Parkway, Birmingham, AL 35209

Signatures

Signature	WILLIAM K HOLBROOK
Title	SECRETARY