

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

PARP
0603370
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
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<http://www.sos.ky.gov>

Annual Report
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ARP

Company: STERNE, AGEE & LEACH, INC.
Company ID: 0603370
State of origin: Delaware
Formation date: 1/12/2005 12:00:00 AM
Date filed: 6/27/2017 11:10:05 AM
Fee: \$15.00

Principal Office

2 PERIMETER PARK S
SUITE 100W
BIRMINGHAM, AL 35243

Registered Agent Name/Address

National Registered Agents, Inc.
306 W. MAIN STREET
SUITE 512
FRANKFORT, KY 40601

Current Officers

CEO	CHARLES M LYON	2 PERIMETER PARK S, SUITE 100W, BIRMINGHAM, AL 35243
CFO	CONNER FRED WAGSTAFF, III	2 PERIMETER PARK S, SUITE 100W, BIRMINGHAM, AL 35243
COO	RON TROUT (CCO)	2 PERIMETER PARK S, SUITE 100W, BIRMINGHAM, AL 35243
Secretary	DAVID A BOLTE	1075 JORDAN CK PKWY, STE 300, WEST DES MOINES, IA 50266

Directors

Director	SEAN M O'CONNOR	2 PERIMETER PARK S, SUITE 100W, BIRMINGHAM, AL 35243
Director	WILLIAM J DUNAWAY	2 PERIMETER PARK S, SUITE 100W, BIRMINGHAM, AL 35243
Director	BRIAN T SEPHTON	2 PERIMETER PARK S, SUITE 100W, BIRMINGHAM, AL 35243
Director	XYONG NGUYEN	2 PERIMETER PARK S, SUITE 100W, BIRMINGHAM, AL 35243
Director	CHARLES M LYON	2 PERIMETER PARK S, SUITE 100W, BIRMINGHAM, AL 35243
Director	TRICIA HARROD	2 PERIMETER PARK S, SUITE 100W, BIRMINGHAM, AL 35243

County:	Franklin
Business size:	Small
Business type:	Finance, Insurance, Real Estate

Signatures

Signature	CONNER FRED WAGSTAFF, III
Title	CFO