

Commonwealth of Kentucky  
Michael G. Adams, Secretary of State

LARP  
1226170  
Michael G. Adams  
KY Secretary of State  
Received and Filed  
2/21/2024 3:35:00 PM  
Fee receipt: \$30.00

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing  
For the Years 2023-2024

ARP

Company: LPL FINANCIAL LLC  
Company ID: 1226170  
State of origin: California  
Formation date: 8/16/2022 12:00:00 AM  
Date filed: 2/21/2024 3:27:09 PM  
Fee: \$30.00

Principal Office  
4707 EXECUTIVE DRIVE  
SAN DIEGO, CA 92121

Registered Agent Name/Address

CT Corporation System  
306 W. Main Street, Suite 512  
Frankfort, KY 40601

Members/Managers

Manager	Matthew J. Audette	4707 Executive Drive San Diego, CA 92121
Manager	Matthew Enyedi	4707 Executive Drive San Diego, CA 92121
Manager	Dan H. Arnold	4707 Executive Drive San Diego, CA 92121

County:	Franklin
Business size:	Small
Business type:	Security & Commodity Brokers, Dealers, Exchanges & Services

Signatures

Signature	Robert S. Hatfield III
Title	Senior Vice President - Associate General Counsel - Corporate Legal and
Assistant Secretary	