

Commonwealth of Kentucky  
Elaine N. Walker, Secretary of State

PARP  
0485071  
Elaine N. Walker  
Secretary of State  
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Elaine N. Walker  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
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ARP

**Company:** OPPENHEIMER & CO. INC.  
**Company ID:** 0485071  
**State of origin:** New York  
**Formation date:** 12/15/1999 12:00:00 AM  
**Date filed:** 6/22/2011 1:22:28 PM  
**Fee:** \$15.00

**Principal Office**

125 BROAD STREET  
16TH FLOOR  
C/O DENNIS MCNAMARA  
NEW YORK, NY 10004

**Registered Agent Name/Address**

CSC-LAWYERS INCORPORATING SERVICE COMPANY  
421 WEST MAIN ST  
FRANKFORT, KY 40601

**Current Officers**

CEO	Albert G. Lowenthal	125 BROAD ST 16TH FL,NY,NY 10004
CFO	Jeffrey J Alfano	125 BROAD ST 16TH FL, NY, NY 10004
Secretary	Dennis P McNamara	125 BROAD ST 16TH FL,NY,NY 10004

**Directors**

Director	Albert G Lowenthal	125 BROAD ST 16TH FL,NY,NY 10004
Director	Elainke K Roberts	20 EGLINTON AVE W,TORONTO,ONTARIO CANADA M4R 1K8

**Signatures**

<b>Signature</b>	Dennis P. McNamara
<b>Title</b>	Secretary