Commonwealth of Kentucky Alison Lundergan Grimes, Secretary o

0485071 Alison Lundergan Grimes KY Secretary of State Received and Filed 4/16/2015 1:37:01 PM Fee receipt: \$15.00

| Alison Lunder Secretary P. O. Bo Frankfort, KY (502) 564 http://www.s | of State < 1150 40602-1150 I-3490 | Annual Report Online Filing | ARP |
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| Company: OPPENHEIMER & CO. INC. Company ID: 0485071 State of origin: New York Formation date: 12/15/1999 12:00:00 AM Date filed: 4/16/2015 1:37:01 PM Fee: \$15.00 | | | |
| Principal Office | | | |
| 85 BROAD STRE 22ND FLOOR C/O DENNIS MCM NEW YORK, NY 2 Registered Agen CSC-LAWYERS II 421 WEST MAIN FRANKFORT, KY | NAMARA 10004 t Name/Address NCORPORATING SERVICE ST | ECOMPANY | |
| Current Officers | 122 | | |
| CEO CFO Secretary | Albert G. Lowenthal Jeffrey J Alfano Dennis P McNamara | 85 BROAD ST 22ND FL,NY,NY 1000 85 BROAD ST 22ND FL,NY,NY 1000 85 BROAD ST 22ND FL,NY,NY 1000 |)4 |
| Directors | TASU (| BOAL - GOLDEN | |
| Director Director | Albert G Lowenthal Elainke K Roberts | 85 BROAD ST 22ND FL,NY,NY 1000 85 BROAD ST 22ND FL,NY,NY 1000 | |
| Signatures | | | |
| Signature Title | Dennis McN Secretary | amara | |