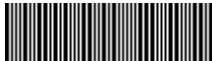


**KENTUCKY SECRETARY OF STATE  
P.O.BOX 718  
FRANKFORT, KY 40602**



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**Revocation of Certificate of Authority**

I, Michael G. Adams, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

**IMPORTANT NOTICE**

**CAPITAL BROKERAGE CORPORATION**

did not file its 2022 annual report within sixty days after it was due. Accordingly, the Secretary of State revoked the corporation's authority to transact business in Kentucky on October 4, 2022.

**CAPITAL BROKERAGE CORPORATION  
ONE UNION SQUARE  
SUITE 3300  
SEATTLE WA 98101**



*Michael G. Adams*

Secretary of State