

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

LARP
0713075
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
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<http://www.sos.ky.gov>

**Annual Report
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ARP

Company: PERSHING ADVISOR SOLUTIONS LLC
Company ID: 0713075
State of origin: Delaware
Formation date: 9/8/2008 12:00:00 AM
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Principal Office

1 PERSHING PLAZA
4TH FLOOR
JERSEY CITY, NJ 07399

Registered Agent Name/Address

Corporation Service Company
421 WEST MAIN STREET
FRANKFORT, KY 40601

Members/Managers

Manager	MARK C. TIBERGIE	1 PERSHING PLAZA 4TH FL JERSEY CITY, NJ 07399
Manager	KAREN N. NOVAK	1 PERSHING PLAZA 4TH FL JERSEY CITY, NJ 07399
Manager	BENJAMIN HARRISON	1 PERSHING PLAZA 4TH FL JERSEY CITY, NJ 07399
Manager	KEVIN Z. TAYLOR	1 PERSHING PLAZA 4TH FL JERSEY CITY, NJ 07399
Manager	CLAIRE H. SANTANIELLO	1 PERSHING PLAZA 4TH FL JERSEY CITY, NJ 07399
Manager	DAVID R. HOPKINS	1 PERSHING PLAZA 4TH FL JERSEY CITY, NJ 07399
Manager	LISA A. DOLLY	1 PERSHING PLAZA 4TH FL JERSEY CITY, NJ 07399

Signatures

Signature	RICHARD IZZO
Title	AUTHORIZED SIGNER