

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

LARP  
0713075  
Alison Lundergan Grimes  
KY Secretary of State  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
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ARP

**Company:** PERSHING ADVISOR SOLUTIONS LLC  
**Company ID:** 0713075  
**State of origin:** Delaware  
**Formation date:** 9/8/2008 12:00:00 AM  
**Date filed:** 6/9/2017 3:09:51 PM  
**Fee:** \$15.00

**Principal Office**

1 PERSHING PLAZA  
4TH FLOOR  
JERSEY CITY, NJ 07399

**Registered Agent Name/Address**

Corporation Service Company  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Members/Managers**

Manager	MARK C. TIBERGien	1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399
Manager	KAREN N. NOVAK	1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399
Manager	BENJAMIN HARRISON	1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399
Manager	KEVIN Z. TAYLOR	1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399
Manager	CLAIRE H. SANTANIELLO	1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399
Manager	DAVID R. HOPKINS	1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399
Manager	LISA A. DOLLY	1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399

**Signatures**

<b>Signature</b>	RICHARD IZZO
<b>Title</b>	AUTHORIZED PERSON