

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

LARP
0713075
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
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ARP

Company: PERSHING ADVISOR SOLUTIONS LLC
Company ID: 0713075
State of origin: Delaware
Formation date: 9/8/2008 12:00:00 AM
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Principal Office

1 PERSHING PLAZA
4TH FLOOR
JERSEY CITY, NJ 07399

Registered Agent Name/Address

Corporation Service Company
421 WEST MAIN STREET
FRANKFORT, KY 40601

Members/Managers

| | | |
|---------|-----------------------|--|
| Manager | MARK C. TIBERGIEN | 1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399 |
| Manager | KAREN N. NOVAK | 1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399 |
| Manager | BENJAMIN HARRISON | 1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399 |
| Manager | KEVIN Z. TAYLOR | 1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399 |
| Manager | CLAIRE H. SANTANIELLO | 1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399 |
| Manager | DAVID R. HOPKINS | 1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399 |
| Manager | LISA A. DOLLY | 1 PERSHING PLAZA, 4TH FLOOR, JERSEY CITY, NJ 07399 |

Signatures

Signature RICHARD IZZO
Title AUTHORIZED PERSON