Commonwealth of Kentucky Michael G. Adams, Secretary of St.

0848375 Michael G. Adams KY Secretary of State Received and Filed

6/28/2024 11:53:56 AM Fee receipt: \$15.00

Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing For the Year 2024

ARP

Company: CETERA ADVISOR NETWORKS LLC

Company ID: 0848375 State of origin: Delaware

Formation date: 1/28/2013 12:00:00 AM Date filed: 6/28/2024 11:53:28 AM

Fee: \$15.00

Principal Office

2301 ROSECRANS AVE

STE 5100

EL SEGUNDO, CA 90245

Registered Agent Name/Address

CORPORATION SERVICE COMPANY 421 WEST MAIN STREET FRANKFORT, KY 40601

Members/Managers

MemberJOSEPH D. NEARY655 W. BROADWAY, 12TH FLOOR, SAN DIEGO, CA 92101MemberTHOMAS B. TAYLOR200 N. PACIFIC COAST HWY, STE 1300, EL SEGUNDO, 90245MemberTHOMAS A. GOOLEY2301 ROSECRANS AVE, STE 5100, EL SEGUNDO, 90245

County: Franklin Business size: Small

Business type: Holding and other Investment Offices

Signatures

SignatureGary L. ChaffinTitleAuthorized Person