

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

LARP  
**0505577**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
**6/20/2017 4:31:04 PM**  
Fee receipt: **\$15.00**

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** KESTRA INVESTMENT SERVICES, LLC  
**Company ID:** 0505577  
**State of origin:** Texas  
**Formation date:** 11/17/2000 12:00:00 AM  
**Date filed:** 6/20/2017 4:31:04 PM  
**Fee:** \$15.00

**Principal Office**

5707 SOUTHWEST PKWY  
BLDG 2, STE 400  
AUSTIN, TX 78735

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Members/Managers**

Member Kestra Financial, In Kestra Financial, Inc. 5707 Southwest Pkwy, Bldg 2, Ste 400, Austin, TX  
78735

**Signatures**

**Signature** R. Bredt Norwood  
**Title** EVP, GC, Secretary