

Commonwealth of Kentucky  
Trey Grayson, Secretary of State

0505577

Trey Grayson  
Secretary of State  
Received and Filed

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PARP

Trey Grayson  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** NFP SECURITIES, INC.  
**Company ID:** 0505577  
**State of origin:** Texas  
**Formation date:** 11/17/2000 12:00:00 AM  
**Date filed:** 6/24/2010 12:48:49 PM  
**Fee:** \$15.00

**Principal Office**

500 W MADISON STREET  
SUITE 2400  
CHICAGO, IL 60661

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

|                |                  |                                                      |
|----------------|------------------|------------------------------------------------------|
| President      | James C. Poer    | 1250 Capital of Texas Hwy S, Austin, TX 78746        |
| Secretary      | R. Bredt Norwood | 1250 Capital of Texas Hwy S., Austin, TX 78746       |
| Vice President | Lori M Lieser    | 500 W. Madison Street, Suite 2400, Chicago, IL 60661 |
| Treasurer      | Michael Forsythe | 1250 Capital of Texas Hwy S, Austin, TX 78746        |

**Directors**

|          |                 |                                               |
|----------|-----------------|-----------------------------------------------|
| Director | James C. Poer   | 1250 Capital of Texas Hwy S, Austin, TX 78746 |
| Director | James R. Gelder | 1250 Capital of Texas Hwy S, Austin, TX 78746 |

**Signatures**

|                  |                |
|------------------|----------------|
| <b>Signature</b> | Lori M. Lieser |
| <b>Title</b>     | Vice President |