

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

LARP  
**0652077**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
**6/19/2017 4:05:56 PM**  
Fee receipt: **\$15.00**

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** CREDIT SUISSE SECURITIES (USA) LLC  
**Company ID:** 0652077  
**State of origin:** Delaware  
**Formation date:** 12/1/2006 12:00:00 AM  
**Date filed:** 6/19/2017 4:05:56 PM  
**Fee:** \$15.00

**Principal Office**

11 MADISON AVENUE  
NEW YORK, NY 10010

**Registered Agent Name/Address**

Corporation Service Company  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Members/Managers**

Manager	Brian Chin	11 Madison Avenue, New York, NY 10010
Manager	JAMES AMINE	11 Madison Avenue, New York, NY 10010
Manager	JERRY LEAMON	11 Madison Avenue, New York, NY 10010
Manager	Bruce Richards	11 Madison Avenue, New York, NY 10010
Manager	JOACHIM OECHSLIN	11 Madison Avenue, New York, NY 10010
Manager	JOHN TINER	11 Madison Avenue, New York, NY 10010
Manager	Richard THORNBURGH	11 Madison Avenue, New York, NY 10010

**Signatures**

<b>Signature</b>	Thomas A Finlan Jr
<b>Title</b>	Vice President