

Commonwealth of Kentucky  
Michael G. Adams, Secretary of State

LARP  
0652077  
Michael G. Adams  
KY Secretary of State  
Received and Filed  
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Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** CREDIT SUISSE SECURITIES (USA) LLC  
**Company ID:** 0652077  
**State of origin:** Delaware  
**Formation date:** 12/1/2006 12:00:00 AM  
**Date filed:** 6/14/2021 4:09:01 PM  
**Fee:** \$15.00

**Principal Office**

11 MADISON AVENUE  
NEW YORK, NY 10010

**Registered Agent Name/Address**

Corporation Service Company  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Members/Managers**

Manager	MORRIS APPLEWHITE	11 Madison Avenue, New York, NY 10010
Manager	JERRY LEAMON	11 Madison Avenue, New York, NY 10010
Manager	Bruce Richards	11 Madison Avenue, New York, NY 10010
Manager	JAY KIM	11 Madison Avenue, New York, NY 10010
Manager	ERIC VARVEL	11 Madison Avenue, New York, NY 10010
Manager	DAVID MILLER	11 Madison Avenue, New York, NY 10010

**Signatures**

<b>Signature</b>	THOMAS A FINLAN
<b>Title</b>	VICE PRESIDENT