

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

LARP
0678777
Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
Online Filing

ARP

Company: HL FINANCIAL SERVICES, LLC
Company ID: 0678777
State of origin: Kentucky
Formation date: 11/15/2007 12:00:00 AM
Date filed: 6/7/2018 2:15:56 PM
Fee: \$15.00

Principal Office

500 WEST JEFFERSON STREET, SUITE 700
LOUISVILLE, KY 40202

Registered Agent Name/Address

WT&C CORPORATE SERVICES, INC.
500 WEST JEFFERSON STREET
SUITE 2800
LOUISVILLE, KY 40202

Members/Managers

| | | |
|---------|---------------------|--|
| Manager | James R. Allen | 500 West Jefferson Street, Suite 700, Louisville, KY 40202 |
| Manager | Pete Mahurin | 446 E. Main Street, Bowling Green, KY 42101 |
| Manager | Spencer A. Coates | 700 Church Street, Bowling Green, KY 42101 |
| Manager | Jimmie P. Gipson | 700 Church Street, Bowling Green, KY 42101 |
| Manager | Charles M. Grimley | 500 West Jefferson Street, Suite 700, Louisville, KY 40202 |
| Manager | Thomas B. Kessinger | 500 West Jefferson Street, Suite 700, Louisville, KY 40202 |

Business size: Small
Business type: Security & Commodity Brokers, Dealers, Exchanges & Services

Signatures

Signature Charles M. Grimley
Title CFO