

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

LARP  
0731877  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
5/13/2014 1:23:28 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** CAPSTONE INVESTMENT MANAGEMENT, LLC  
**Company ID:** 0731877  
**State of origin:** Kentucky  
**Formation date:** 6/16/2009 8:26:18 AM  
**Date filed:** 5/13/2014 1:23:28 PM  
**Fee:** \$15.00

**Principal Office**

9900 CORPORATE CAMPUS DR.  
SUITE 3000  
LOUISVILLE, KY 40223

**Registered Agent Name/Address**

PATRICK T. SCHMIDT  
401 W. MAIN STREET  
SUITE 1400  
Louisville, KY 40202

**Members/Managers**

Member Thomas Allen Douglas Jr. 2906 Brownsboro Vista Dr., Louisville, KY, 40242

**Signatures**

**Signature** Thomas Allen Douglas Jr.  
**Title** President