



COMMONWEALTH OF KENTUCKY
MICHAEL G. ADAMS, SECRETARY OF STATE

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ADD

Michael G. Adams
 Kentucky Secretary of State
 Received and Filed:
 1/31/2023 1:35 PM
 Fee Receipt: \$90.00

Division of Business Filings
 P.O. Box 718
 Frankfort, KY 40602
 (502) 564-3490
www.sos.ky.gov

Certificate of Authority
 (Foreign Business Entity)

Pursuant to the provisions of KRS 14A - 030 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements:

1. The entity is a:
- | | | |
|--|---|---|
| <input checked="" type="checkbox"/> profit corporation | <input type="checkbox"/> nonprofit corporation | <input type="checkbox"/> professional limited liability company |
| <input type="checkbox"/> business trust | <input type="checkbox"/> limited liability company | <input type="checkbox"/> statutory trust |
| <input type="checkbox"/> limited partnership | <input type="checkbox"/> ltd cooperative association | <input type="checkbox"/> other |
| <input type="checkbox"/> non-profit llc | <input type="checkbox"/> professional service corporation | |

2. The name of the entity is SIMPLICITY FINANCIAL INVESTMENT SERVICES INC.
 (The name must be identical to the name on record with the Secretary of State.)

3. The name of the entity to be used in Kentucky is (if applicable): _____
 (Only provide if "real name" is unavailable for use; otherwise, leave blank.)

4. The state or country under whose law the entity is organized is Delaware

5. The date of organization is 7/9/2008 and the period of duration is _____
 (If left blank, duration is considered perpetual.)

6. The mailing address of the entity's principal office is
86 Summit Ave Ste 303 Summit NJ 07901
 Street Address City State Zip Code

7. The street address of the entity's registered office in Kentucky is
828 Lane Allen Road Suite 219 Lexington KY 40504
 Street Address (No P.O. Box Numbers) City State Zip Code

and the name of the registered agent at that office is Registered Agent Solutions, Inc.

8. The names and business addresses of the entity's representatives (secretary, officers and directors, managers, trustees or general partners):

Michael Ebmeier	86 Summit Ave Ste 303	Summit	NJ	07901
Name	Street or P.O. Box	City	State	Zip Code
Mitchell M. Leidner	86 Summit Ave Ste 303	Summit	NJ	07901
Name	Street or P.O. Box	City	State	Zip Code
Christina M. Parker	86 Summit Ave Ste 303	Summit	NJ	07901
Name	Street or P.O. Box	City	State	Zip Code

9. If a professional service corporation, all the individual shareholders, not less than one half (1/2) of the directors, and all of the officers other than the secretary and treasurer are licensed in one or more states or territories of the United States or District of Columbia to render a professional service described in the statement of purposes of the corporation.

10. I certify that, as of the date of filing this application, the above-named entity validly exists under the laws of the jurisdiction of its formation.

11. If a limited partnership, it elects to be a limited liability limited partnership. Check the box if applicable: ☐

12. If a limited liability company, check box if manager-managed: ☐

13. This application will be effective upon filing.

Mitchell Leidner Mitchell M. Leidner Treasurer 1/27/2023
 Signature of Authorized Representative Printed Name & Title Date

I, Registered Agent Solutions, Inc., consent to serve as the registered agent on behalf of the business entity.
 Type/Print Name of Registered Agent

Mackenzie Hibler Mackenzie Hibler Asst. Secretary 1/31/2023
 Signature of Registered Agent Printed Name Title Date