



**COMMONWEALTH OF KENTUCKY**  
**ALISON LUNDERGAN GRIMES, SECRETARY OF STATE**

Division of Business Filings  
 Business Filings  
 PO Box 718  
 Frankfort, KY 40602  
 (502) 564-3490  
 www.sos.ky.gov

**Certificate of Authority**  
**(Foreign Business Entity)**

FBE

Pursuant to the provisions of KRS 14A and KRS 271B, 273, 274, 275, 362 and 386 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements:

1. The entity is a : ☒ profit corporation (KRS 271B). ☐ nonprofit corporation (KRS 273). ☐ professional service corporation (KRS 274).  
☐ business trust (KRS 386). ☐ limited liability company (KRS 275). ☐ professional limited liability company (KRS 275).  
☐ limited partnership (KRS 362).

2. The name of the entity is Howard NA Insurance Services Inc.  
 (The name must be identical to the name on record with the Secretary of State.)

3. The name of the entity to be used in Kentucky is (if applicable): \_\_\_\_\_  
 (Only provide if "real name" is unavailable for use; otherwise, leave blank.)

4. The state or country under whose law the entity is organized is Delaware

5. The date of organization is 11/22/2010 and the period of duration is \_\_\_\_\_  
 (If left blank, the period of duration is considered perpetual.)

6. The mailing address of the entity's principal office is  
1 State Street Plaza, 9th Floor New York NY 10004  
 Street Address City State Zip Code

7. The street address of the entity's registered office in Kentucky is  
101 North Seventh Street Louisville KY 40202  
 Street Address (No P.O. Box Numbers) City State Zip Code

and the name of the registered agent at that office is 3H Agent Services, Inc.

8. The names and business addresses of the entity's representatives (secretary, officers and directors, managers, trustees or general partners):

William F. Goldstein	1 State Street Plaza, 9th FL	New York	NY	10004
Name	Street or P.O. Box	City	State	Zip Code
Andrew Behrends	1 State Street Plaza, 9th FL	New York	NY	10004
Name	Street or P.O. Box	City	State	Zip Code
Devin Taylor	1 State Street Plaza, 9th FL	New York	NY	10004
Name	Street or P.O. Box	City	State	Zip Code

\*\*\*\*SEE ATTACHED ADDITIONAL DIRECTORS AND OFFICERS\*\*\*\*

9. If a professional service corporation, all the individual shareholders, not less than one half (1/2) of the directors, and all of the officers other than the secretary and treasurer are licensed in one or more states or territories of the United States or District of Columbia to render a professional service described in the statement of purposes of the corporation.

10. I certify that, as of the date of filing this application, the above-named entity validly exists under the laws of the jurisdiction of its formation.

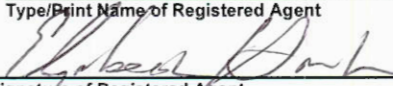
11. If a limited partnership, it elects to be a limited liability limited partnership. Check the box if applicable: ☐

12. If a limited liability company, check box if manager-managed: ☐

13. This application will be effective upon filing, unless a delayed effective date and/or time is provided.

The effective date or the delayed effective date cannot be prior to the date the application is filed. The date and/or time is \_\_\_\_\_  
 (Delayed effective date and/or time)

 Devin Taylor, Secretary 4/15/17  
 Signature of Authorized Representative Printed Name & Title Date

I, Elizabeth Harker, consent to serve as the registered agent on behalf of the business entity.  
 Type/Print Name of Registered Agent  
 Elizabeth Harker President 4/12/17  
 Signature of Registered Agent Printed Name Title Date

**Howard NA Insurance Services Inc.**

**Schedule of Directors**

<b>NAME</b>	<b>ADDRESS</b>
Marc Kunney	1 State Street Plaza, 9th Floor, New York, NY 10004
Ruth Kilduff	60 South Street, Suite 800 Boston, MA 02111

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "HOWARD NA INSURANCE SERVICES INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWELFTH DAY OF APRIL, A.D. 2017.



4901911 8300

SR# 20172463850

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Authentication: 202369054

Date: 04-12-17