

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0432685  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/17/2019 1:12:07 PM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** SMITH & SMITH, LTD. RETIREMENT PLANNING & INVESTMENT ADVISORS  
**Company ID:** 0432685  
**State of origin:** Kentucky  
**Formation date:** 5/9/1997 12:00:00 AM  
**Date filed:** 6/17/2019 1:12:07 PM  
**Fee:** \$15.00

**Principal Office**

6010 BROWNSBORO PARK BLVD.  
SUITE A  
LOUISVILLE, KY 40207

**Registered Agent Name/Address**

JAMES J SMITH  
6010 BROWNSBORO PARK BLVD  
SUITE A  
LOUISVILLE, KY 40207

**Current Officers**

Sole Officer JAMES J SMITH 6010 Brownsboro Park Blvd, Ste. A, Louisville, KY 40207

**Directors**

Director JAMES J SMITH 6010 Brownsboro Park Blvd., Ste. A, Louisville, KY 40207

County: Jefferson  
Business size: Small  
Business type: Security & Commodity Brokers, Dealers, Exchanges & Services

**Signatures**

Signature James J Smith  
Title President