## KENTUCKY SECRETARY OF STATE P.O.BOX 718 FRANKFORT, KY 40602



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## **Revocation of Certificate of Authority**

I, Michael G. Adams, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

## **BAL INVESTMENT & ADVISORY, LLC**

did not file its 2023 annual report within sixty days after it was due. Accordingly, the Secretary of State revoked the limited liability company's authority to transact business in Kentucky on October 4, 2023.



## IMPORTANT NOTICE

BAL INVESTMENT & ADVISORY, LLC 555 CALIFORNIA STREET, 4TH FLOOR SAN FRANCISCO CA 94104