Commonwealth of Kentucky Michael G. Adams, Secretary of St

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Michael G. Adams Secretary of State P. O. Box 718 Frankfort, KY 40602-0718 (502) 564-3490

http://www.sos.ky.gov

Certificate of Authority

RCA

Pursuant to the provisions of KRS 14A.9 - 030 the undersigned hereby applies for authority to transact business in Kentucky on behalf of the entity named below and, for that purpose, submits the following statements.

- 1. The business entity is a limited liability company.
- 2. The name of the entity is: BAL INVESTMENT & ADVISORY, LLC
- 3. The name of the entity to be used in Kentucky is (if applicable):
- 4. It is an entity organized and existing under the laws of the state of Delaware.
- 5. The date of organization is See Original Certificate of Authority. and the period of duration is perpetual

Principal Office

555 CALIFORNIA STREET, 4TH FLOOR SAN FRANCISCO, CA 94104

Registered Agent Name/Address

CT Corporation System 306 West Main Street Frankfort, KY 40601

Members/Managers

Member	Bank of America, National Association	555 California Street, 4th Floor San

Francisco CA 94104 United States

Manager Todd Karas 555 California Street, 4th Floor San Francisco CA 94104

United States

Manager Richard R Fleischer 555 California Street, 4th Floor San Francisco CA 94104

United States

Manager Andrew R Brown 555 California Street, 4th Floor San Francisco CA 94104

United States

- 6. Nathan Barth, Senior Vice President, on 8/5/2024
- 7. I, CT Corporation System, consent to serve as the registered agent on behalf of the this entity on 8/5/2024