PARP

Commonwealth of Kentucky Trey Grayson, Secretary of State

0066486 Trey Grayson Secretary of State Received and Filed

6/14/2010 6:53:29 PM Fee receipt: \$15.00

Trey Grayson Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing

ARP

Company: BAL INVESTMENT & ADVISORY, INC.

Company ID: 0066486 State of origin: Delaware

Formation date: 3/5/1975 12:00:00 AM **Date filed:** 6/14/2010 6:53:29 PM

Fee: \$15.00

Principal Office

401 N TRYON ST NC1-021-02-20 CHARLOTTE, NC 28255

Registered Agent Name/Address

C T CORPORATION SYSTEM 306 W MAIN ST SUITE 512 FRANKFORT, KY 40601

Current Officers

President RICHARD R FLEISCHER 401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255
Secretary CHRISTINE M COSTAMAGNA 401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255
Vice President DONNA DeSOUZA 401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255

Directors

Director RICHARD R FLEISCHER 401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255

Director DAVID G GAUTHIER 401 N TRYON ST; NC1-021-02-20; CHARLOTTE NC 28255

Signatures

Signature DONNA DeSOUZA

Title SVP