

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

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Alison Lundergan Grimes  
KY Secretary of State  
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PPOC

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 718  
Frankfort, KY 40602-0718  
(502) 564-3490  
<http://www.sos.ky.gov>

**Statement of Change of  
Principal Office Address**

**POC**

Pursuant to the provisions of KRS chapters 271B, 273, 275, or 362, the undersigned hereby applies to change the principal office on behalf of

**BAL INVESTMENT & ADVISORY, INC.**

which is organized in the state of Delaware, and for that purpose submits the following statements:

**1. Address of current principal office**

401 N TRYON ST  
NC1-021-02-20  
CHARLOTTE, NC 28255

**2. Principal office is hereby changed to:**

555 California Street,  
4th Floor  
SAN FRANCISCO, CA 94104

**3. Signature of officer or chairman of the board**

Jason Pritchard, SVP

Signature and Title

Type or print name and title

5/2/2016 7:08 AM

Date