Commonwealth of Kentucky Michael G. Adams, Secretary of Sta

0066486 Michael G. Adams KY Secretary of State Received and Filed 6/26/2020 5:45:18 AM

Fee receipt: \$15.00

Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing

ARP

Company: BAL INVESTMENT & ADVISORY, INC.

Company ID: 0066486
State of origin: Delaware

Formation date: 3/5/1975 12:00:00 AM **Date filed:** 6/26/2020 5:45:18 AM

Fee: \$15.00

Principal Office

555 CALIFORNIA STREET, 4TH FLOOR SAN FRANCISCO, CA 94104

Registered Agent Name/Address

C T CORPORATION SYSTEM 306 W MAIN ST SUITE 512 FRANKFORT, KY 40601

Current Officers

President RICHARD R FLEISCHER 401 N Tryon St, NC1-021-06-01, Charlotte NC 28255
Secretary CHRISTINE M COSTAMAGNA 401 N Tryon St, NC1-021-06-01, Charlotte NC 28255
Treasurer DAVID G GAUTHIER 401 N Tryon St, NC1-021-06-01, Charlotte NC 28255
Vice President CRYSTAL HOLMAN 401 N Tryon St, NC1-021-06-01, Charlotte NC 28255

Directors

Director RICHARD R FLEISCHER 401 N Tryon St, NC1-021-06-01, Charlotte NC 28255
Director DAVID G GAUTHIER 401 N Tryon St, NC1-021-06-01, Charlotte NC 28255
Director JOHN J GOULD 401 N Tryon St, NC1-021-06-01, Charlotte NC 28255

Signatures

Signature Crystal Holman

Title VP