

**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

PARP

0066486

Michael G. Adams  
KY Secretary of State

Received and Filed

6/7/2022 1:42:32 PM

Fee receipt: \$15.00

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** BAL INVESTMENT & ADVISORY, INC.  
**Company ID:** 0066486  
**State of origin:** Delaware  
**Formation date:** 3/5/1975 12:00:00 AM  
**Date filed:** 6/7/2022 1:42:32 PM  
**Fee:** \$15.00

**Principal Office**

555 CALIFORNIA STREET,  
4TH FLOOR  
SAN FRANCISCO, CA 94104

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

President	RICHARD R FLEISCHER	555 California Street, 4th Floor, San Francisco, CA 94104
Secretary	CHRISTINE M COSTAMAGNA	555 California Street, 4th Floor, San Francisco, CA 94104
Treasurer	DAVID G GAUTHIER	555 California Street, 4th Floor, San Francisco, CA 94104
Vice President	Nathan A. Barth	555 California Street, 4th Floor, San Francisco, CA 94104

**Directors**

Director	RICHARD R FLEISCHER	555 California Street, 4th Floor, San Francisco, CA 94104
Director	DAVID G GAUTHIER	555 California Street, 4th Floor, San Francisco, CA 94104
Director	JOHN J GOULD	555 California Street, 4th Floor, San Francisco, CA 94104

**Signatures**

<b>Signature</b>	Jason Proffitt
<b>Title</b>	Power of Attorney