

CERTIFICATE OF FILING OF

Peak Trust Financial Group, Inc. 149541600

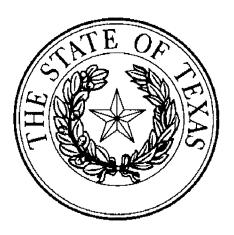
[formerly: PURITAN FINANCIAL GROUP, INC.]

The undersigned, as Deputy Secretary of State of Texas, hereby certifies that a Certificate of Amendment for the above named entity has been received in this office and has been found to conform to the applicable provisions of law.

ACCORDINGLY, the undersigned, as Deputy Secretary of State, and by virtue of the authority vested in the secretary by law, hereby issues this certificate evidencing filing effective on the date shown below.

Dated: 07/30/2019

Effective: 07/30/2019



Jose A. Esparza Deputy Secretary of State



Office of the Secretary of State

July 31, 2019

Attn: Puritan Financial Group, Inc.

Puritan Financial Group, Inc. 5085 W. Park Blvd., ste700 Plano, TX 75093 USA

RE: Peak Trust Financial Group, Inc.

File Number: 149541600

It has been our pleasure to file the Certificate of Amendment for the referenced entity. Enclosed is the certificate evidencing filing. Payment of the filing fee is acknowledged by this letter.

If we may be of further service at any time, please let us know.

Sincerely,

Corporations Section Business & Public Filings Division (512) 463-5555

Enclosure

Phone: (512) 463-5555 Prepared by: Carol Covey Come visit us on the internet at https://www.sos.texas.gov/ Fax: (512) 463-5709 TID: 10323

Dial: 7-1-1 for Relay Services Document: 903573090004

Form 424

Secretary of State P.O. Box 13697 Austin, TX 78711-3697 FAX: 512/463-5709

Filing Fee: See instructions



Certificate of Amendment

Filed in the Office of the Secretary of State of Texas Filing #: 149541600 07/30/2019 Document #: 903573090004 Image Generated Electronically for Web Filing

Entity Information
The filing entity is a: Domestic For-Profit Corporation
The name of the filing entity is: PURITAN FINANCIAL GROUP, INC.
The file number issued to the filing entity by the secretary of state is: 149541600
Amendment to Name
The amendment changes the formation document of the filing entity to change the article or provision that names the entity. The article or provision is amended to read as follows:
The name of the filing entity is:
Peak Trust Financial Group, Inc.
A letter of consent, if applicable, is attached. Texas Department of Banking - Letter of No Objection to use of the word trust.pdf
Statement of Approval
The amendment has been approved in the manner required by the Texas Business Organizations Code and by the governing documents of the entity.
Effectiveness of Filing
▼A. This document becomes effective when the document is filed by the secretary of state.
□B. This document becomes effective at a later date, which is not more than ninety (90) days from the date of its filing by the secretary of state. The delayed effective date is:
Execution
The undersigned signs this document subject to the penalties imposed by law for the submission of a materially fals or fraudulent instrument and declares under penalty of perjury that the undersigned is authorized under the Texas

Matthew McIntyre

Signature of authorized person

FILING OFFICE COPY

Date: July 30, 2019

Business Organizations Code to execute the filing instrument.



TEXAS DEPARTMENT OF BANKING

2601 North Lamar Blvd., Austin, Texas 78705 512-475-1300 /877-276-5554 www.dob.texas.gov

July 29, 2019

Mr. Warren Powell Chief Operating Officer Puritan Financial Companies, Inc. Puritan Financial Group, Inc. 5085 W. Park Blvd., Ste. 700 Plano, TX 75093

RE: Use of Corporate Names "Peak Trust Financial Companies, Inc." and "Peak Trust

Financial Group, Inc."

Dear Mr. Powell:

This letter is in response to your letter dated July 17, 2019, and subsequent correspondence regarding the proposed use of the captioned corporate names. According to your request, the captioned entities are a licensed insurance agency that sells insurance and annuity products and a licensed investment advisory that provides wealth managed management services, both in Texas and several other states.

Generally, we will grant a request for a letter of no objection for use of a term identified in Sections 31.005 and 181.004(a) of the Texas Finance Code (the "Code") if in context the term is not otherwise misleading and is used as a term of art in a manner and context that clearly invokes an established secondary meaning. We have reviewed your requests and determined that the names as proposed do not appear to be prohibited by Sections 31.005 and 181.004(a) of the Code. Therefore, we do not object to the use of the captioned corporate names.

The review of your requests are based upon the information you provided to this office taken as a whole, including commitments given in support of the request. If any of the facts of which you have informed us change in any material way, you should notify us immediately. Additionally, any variation now or in the future in the presentation, nature of financial services provided, or usage of a name (such as the use of part of the whole name requested) may be objectionable to this office. If such occurs, this office should be notified immediately; and, should it be determined by this office that the services provided, or the actual usage of a name is prohibited, appropriate steps will be taken to fully enforce the Code.

This expression of no objection does not obligate the Texas Secretary of State to approve such names. This determination does not authorize the use of such names contrary to any state or federal law.