

Commonwealth of Kentucky
Trey Grayson, Secretary of State

0491687
Trey Grayson
Secretary of State
Received and Filed
4/8/2010 12:41:22 PM
Fee receipt: \$8.00

FNAP

Trey Grayson
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

Annual Report
Online Filing

ARP

Company: FINRA DISPUTE RESOLUTION, INC.
Company ID: 0491687
State of origin: Delaware
Formation date: 3/24/2000 12:00:00 AM
Date filed: 4/8/2010 12:41:22 PM
Fee: \$8.00

Principal Office

1735 K. STREET NW
WASHINGTON, DC 20006

Registered Agent Name/Address

CORPORATE CREATIONS NETWORK INC.
101 NORTH SEVENTH STREET
LOUISVILLE, KY 40202

Current Officers

CEO Richard G. Ketchum, CHR & CEO 1735 K Street, NW Washington DC 20006
CFO Todd T. Diganci, EVP & CFO 1735 K Street, NW Washington DC 20006
President Linda D. Fienberg 1735 K Street, NW Washington DC 20006
Secretary Marcia E. Asquith, SVP & SECR 1735 K Street, NW Washington DC 20006
Vice President Kenneth L. Andrichik, SVP 1735 K Street, NW Washington DC 20006
Executive George H. Friedman, EVP 1735 K Street, NW Washington DC 20006

Directors

Director Charles A. Bowsher 4503 Boxwood Road, Bethesda MD 20816
Director John J. Brennan 100 Vanguard Blvd, Malvern PA 19355
Director Elynn L. Brown 11055 Greenspring Avenue, Lutherville MD 21093
Director Richard F. Brueckner 95 Christopher Columbus Drive, Jersey City NJ 07399
Director Mari Buechner 704 River Place, Madison WI 53716
Director Richard G. Ketchum 1735 K Street, NW Washington DC 20006

Signatures

Signature MARCIA E. ASQUITH
Title SENIOR VICE PRESIDENT & CORPORATE SECRETARY