

0491687  
 Elaine N. Walker  
 Secretary of State  
 Received and Filed  
 5/27/2011 11:26:30 AM  
 Fee receipt: \$15.00

Commonwealth of Kentucky  
 Elaine N. Walker, Secretary of State

Elaine N. Walker  
 Secretary of State  
 P. O. Box 1150  
 Frankfort, KY 40602-1150  
 (502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
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ARP

**Company:** FINRA DISPUTE RESOLUTION, INC.  
**Company ID:** 0491687  
**State of origin:** Delaware  
**Formation date:** 3/24/2000 12:00:00 AM  
**Date filed:** 5/27/2011 11:26:30 AM  
**Fee:** \$15.00

**Principal Office**

1735 K. STREET NW  
 WASHINGTON, DC 20006

**Registered Agent Name/Address**

CORPORATE CREATIONS NETWORK INC.  
 101 NORTH SEVENTH STREET  
 LOUISVILLE, KY 40202

**Current Officers**

Vice Chairman	Stephen Luparello	1735 K Street, NW Washington DC 20006
CEO	Richard G. Ketchum, CHR/CEO	1735 K Street, NW Washington DC 20006
CFO	Todd T. Diganci, EVP/CFO	1735 K Street, NW Washington DC 20006
President	Linda D. Fienberg	1735 K Street, NW Washington DC 20006
CIO	Samuel H. Gaer, EVP	1735 K Street, NW Washington DC 20006
Secretary	Marcia E. Asquith, SVP/SECR	1735 K Street, NW Washington DC 20006
Vice President	Kenneth L. Andrichik, SVP	1735 K Street, NW Washington DC 20006
Vice President	John M. Gannon, SVP	1735 K Street, NW Washington DC 20006
Vice President	Tracy Johnson, SVP	1735 K Street, NW Washington DC 20006
Executive	George H. Friedman, EVP	1735 K Street, NW Washington DC 20006
Executive	Susan F. Axelrod, EVP	1735 K Street, NW Washington DC 20006
Executive	J. Bradley Bennett, EVP	1735 K Street, NW Washington DC 20006
Executive	T. Grant Callery, EVP	1735 K Street, NW Washington DC 20006
Executive	Martin P. Colburn, EVP/CTO	1735 K Street, NW Washington DC 20006
Executive	Marc Menchel, EVP	1735 K Street, NW Washington DC 20006
Executive	Howard M. Schloss, EVP	1735 K Street, NW Washington DC 20006
Executive	Thomas M. Selman, EVP	1735 K Street, NW Washington DC 20006
Executive	Grace B. Vogel, EVP	1735 K Street, NW Washington DC 20006

**Directors**

Director	Charles A. Bowsher	4503 Boxwood Road, Bethesda MD 20816
Director	John J. Brennan	400 Devon Park Drive, PA 19087
Director	Ellyn L. Brown	11055 Greenspring Avenue, Lutherville MD 21093
Director	Richard F. Brueckner	95 Christopher Columbus Drive, Jersey City NJ 07399
Director	Richard G. Ketchum	1735 K Street, NW Washington DC 20006

**Signatures**

<b>Signature</b>	MARCIA E. ASQUITH
<b>Title</b>	SENIOR VICE PRESIDENT & CORPORATE SECRETARY