

0491687

Alison Lundergan Grimes

KY Secretary of State

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Commonwealth of Kentucky

Alison Lundergan Grimes, Secretary of State

Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

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ARP

Company: FINRA DISPUTE RESOLUTION, INC.
Company ID: 0491687
State of origin: Delaware
Formation date: 3/24/2000 12:00:00 AM
Date filed: 6/12/2015 2:06:27 PM
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Principal Office

1735 K. STREET NW
WASHINGTON, DC 20006

Registered Agent Name/Address

CSC-LAWYERS INCORPORATING SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Current Officers

| | | |
|-----------|------------------|---------------------------------------|
| President | Linda D Fienberg | 1735 K Street, NW Washington DC 20006 |
| Secretary | Marcia E Asquith | 1735 K Street, NW Washington DC 20006 |
| Treasurer | Todd T Diganci | 1735 K Street, NW Washington DC 20006 |

Directors

| | | |
|----------|-------------------------------|--|
| Director | John J Brennan | 400 Devon Park Drive, Wayne PA 19087 |
| Director | Richard G Ketchum | 1735 K Street, NW Washington DC 20006 |
| Director | Mark S Casady | 75 State Street, Boston MA 02109 |
| Director | W. Dennis Ferguson | 1489 W. Palmetto Park Road, Boca Raton FL 33486 |
| Director | Gregory J Fleming | 1585 Broadway, New York NY 10036 |
| Director | William H Heyman | 385 Washington Street, Saint Paul MN 55102 |
| Director | Richard S Pechter | 500 Park Avenue, New York NY 10022 |
| Director | John W Schmidlin | 15 Springcroft Road, Far Hills NJ 07931 |
| Director | Joel Seligman | 240 Wallis Hall, Rochester NY 14627 |
| Director | Seth H Waugh | 60 Wall Street, New York NY 10005 |
| Director | James D Weddle | 12555 Manchester Road, St. Louis MO 63131 |
| Director | Kevin A. Carreno | 300 South Orange Avenue, Orlando FL 32801 |
| Director | Carol (John) Anthony Davidson | 4851 Bonita Bay Blvd., Bonita Springs FL 34134 |
| Director | Robert Keenan, Jr. | 1609 West Main Street, Russellville AR 72801 |
| Director | Rochelle (Shelly) Lazarus | 636 11th Avenue, New York NY 10036 |
| Director | Brigitte C Madrian | 79 JFK Street, Cambridge MA 02138 |
| Director | Leslie Seidman | 10 Beechwood Lane, Westport CT 06880 |
| Director | Luis M Viceira | Baker Library 367, Boston MA 02163 |
| Director | Joshua S Levine | 2095 Broadway, 5th Floor, New York, NY 10023 |
| Director | Robert W Scully | 9 E 79th Street, New York, NY 10075 |
| Director | Susan Wolburgh Jenah | 192 Carmichael Avenue, Toronto, ON M5M 2X3, CA |
| Director | Richard W Berry | 1735 K Street, NW Washington DC 20006 |
| Director | Joseph M Mecane | 375 Park Avenue, New York, NY 10152 |
| Director | Mark W Cresap | 259 Radnor-Chester Road, Suite 230, Radnor, PA 19087 |

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ARP

Director

Elisse B Walter

7529 Royal Dominion Drive, Bethesda, MD 20817

Signatures

**Signature
Title**

MARCIA E ASQUITH
SECRETARY

