

Commonwealth of Kentucky  
Trey Grayson, Secretary of State

PARP  
0419988  
Trey Grayson  
Secretary of State  
Received and Filed  
5/4/2010 10:06:16 AM  
Fee receipt: \$15.00

Trey Grayson  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** MML INVESTORS SERVICES, INC.  
**Company ID:** 0419988  
**State of origin:** Massachusetts  
**Formation date:** 8/13/1996 12:00:00 AM  
**Date filed:** 5/4/2010 10:06:16 AM  
**Fee:** \$15.00

**Principal Office**

1295 STATE ST.  
SPRINGFIELD, MA 01111-0001

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

|                |                        |  |
|----------------|------------------------|--|
| Chairman       | Michael Fanning        | 1295 State St., Springfield, MA 01111-0001 |
| CEO            | Michael Fanning        | 1295 STATE ST., SPRINGFIELD, MA 01111-0001 |
| CFO            | William H. McCauley    | 1295 State St., Springfield, MA 01111-0001 |
| COO            | William F. Monroe, Jr. | 1295 State St., Springfield, MA 01111-0001 |
| Secretary      | Robert S. Rosenthal    | 1295 STATE ST., SPRINGFIELD, MA 01111-0001 |
| President      | John Vaccaro           | 1295 STATE ST., SPRINGFIELD, MA 01111-0001 |
| Vice President | Robert S. Rosenthal    | 1295 STATE ST., SPRINGFIELD, MA 01111-0001 |
| Vice President | William F. Monroe, Jr. | 1295 STATE ST., SPRINGFIELD, MA 01111-0001 |
| Treasurer      | William H. McCauley    | 1295 State St., Springfield, MA 01111-0001 |
| Vice President | Douglas Russell        | 1295 State St., Springfield, MA 01111-0001 |

**Directors**

|          |                   |   |
|----------|-------------------|---|
| Director | KENNETH M RICKSON | 1295 STATE ST., SPRINGFIELD, MA 01111-0001      |
| Director | ELAINE SARYNSKI   | 1295 STATE ST., SPRINGFIELD, MA 01111-0001      |
| Director | Michael Rollings  | 1295 STATE ST., SPRINGFIELD, MA 01111-0001      |
| Director | Gregory Deavens   | 100 BRIGHT MEADOW BLVD., ENFIELD, CT 06082-1981 |
| Director | Melissa Millan    | 100 BRIGHT MEADOW BLVD., ENFIELD, CT 06082-1981 |
| Director | John Vaccaro      | 1295 State St., Springfield, MA 01111-0001      |

**Signatures**

|                  |                                    |
|------------------|------------------------------------|
| <b>Signature</b> | Robert S. Rosenthal                |
| <b>Title</b>     | Chief Legal Officer, VP, Secretary |