

Commonwealth of Kentucky
Alison Lundergan Grimes, Secretary of State

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Alison Lundergan Grimes
KY Secretary of State
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Alison Lundergan Grimes
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
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**Annual Report
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ARP

Company: NATIONAL PLANNING CORPORATION
Company ID: 0457288
State of origin: Delaware
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Principal Office

1 CORPORATE WAY
ATTN: TAX DEPT S35
LANSING, MI 48951

Registered Agent Name/Address

C T CORPORATION SYSTEM
306 W MAIN ST
SUITE 512
FRANKFORT, KY 40601

Current Officers

CFO	MAURA COLLINS	7601 TECHNOLOGY WAY, DENVER, CO 80237
President	JOHN JOHNSON	100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA 90245
Assistant Secretary	GERRY GUNDERSON	212 N 4TH STREET, BISMARCK, ND 58501
Secretary	KRISTAN RICHARDSON	1 CORPORATE WAY, LANSING MI 48951
Vice President	KIRSTEN BOSCH	100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA 90245
Vice President	WENDY MARTINEZ	100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA 90245
Vice President	GERRY GUNDERSON	212 N 4TH STREET, BISMARCK ND 58501
Vice President	VANESSA LAMBRECHTS	100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA 90245
Vice President	SCOTT FORBUSH	1 CORPORATE WAY, LANSING MI 48951
Vice President	MARK PAGE	100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA 90245
Vice President	ALLAN AVENA	100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA 90245
Vice President	MARIA J LINDSLEY	100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA 90245
Vice President	DANIEL WEISS	100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA 90245
Vice President	KATHLEEN FEREDAY	100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA 90245
Vice President	JIM DEFALCO	100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA 90245
Vice President	MICHAEL SCHAB	100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA 90245
Vice President	JEFF GRIFFITH	100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA 90245

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Vice President	CAREN COLEMAN	90245 100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA
Vice President	JEREMY STONE	90245 100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA
Vice President	ADAM WAROT	90245 100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA
Vice President	PATRICIA MCCALLOP	90245 100 NORTH SEPULVEDA BLVD, STE 1800, EL SEGUNDO, CA

Directors

Director	JOHN JOHNSON	100 NORTH SEPULVEDA LBVD, STE 1800, EL SEGUNDO, CA 90245
Director	GREG CICOTTE	300 INNOVATION DRIVE, FRANJKLIN, TN 37067
Director	MICHAEL A COSTELLO	1 CORPORATE WAY, LANSING, MI 48951

Signatures

Signature	KRISTAN RICHARDSON
Title	SECRETARY