

**Commonwealth of Kentucky**  
**Michael G. Adams, Secretary of State**

PARP

**0578988**  
**Michael G. Adams**  
**KY Secretary of State**  
Received and Filed  
**5/29/2020 6:18:09 PM**  
Fee receipt: **\$15.00**

Michael G. Adams  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** BMO CAPITAL MARKETS CORP.  
**Company ID:** 0578988  
**State of origin:** Delaware  
**Formation date:** 2/16/2004 12:00:00 AM  
**Date filed:** 5/29/2020 6:18:09 PM  
**Fee:** \$15.00

**Principal Office**

3 TIMES SQUARE  
NEW YORK, NY 10036

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

|           |                  |                                     |
|-----------|------------------|-------------------------------------|
| Chairman  | BRAD ROTHBAUM    | 3 Times Square, New York, NY 10036  |
| CFO       | Michael Coppins  | 3 Times Square, New York, NY 10036  |
| COO       | BRAD ROTHBAUM    | 3 Times Square, New York, NY 10036  |
| Secretary | Michelle Magnaye | 111 W. Monroe St. Chicago, IL 60603 |

**Directors**

|          |                    |                                                  |
|----------|--------------------|--------------------------------------------------|
| Director | BRAD ROTHBAUM      | 3 Times Square, New York, NY 10036               |
| Director | Ken Librot         | 1 First Canadian Place, Toronto, Ontario M5X 1H3 |
| Director | PETER MYERS        | 111 W. Monroe St. Chicago, IL 60603              |
| Director | BRAD REID          | 111 W. Monroe St. Chicago, IL 60603              |
| Director | CHRIS TAVES        | 1 First Canadian Place, Toronto, Ontario M5X 1H3 |
| Director | LARISSA CHAIKOWSKY | 111 W. Monroe St, Chicago, IL 60603              |

**Signatures**

|                  |                  |
|------------------|------------------|
| <b>Signature</b> | Michelle Magnaye |
| <b>Title</b>     | Secretary        |