

**Commonwealth of Kentucky**  
**Elaine N. Walker, Secretary of State**

LARP  
0685188  
Elaine N. Walker  
Secretary of State  
Received and Filed  
5/5/2011 2:06:03 PM  
Fee receipt: \$15.00

Elaine N. Walker  
Secretary of State  
P. O. Box 1150  
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**Annual Report  
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**ARP**

**Company:** LOCKTON FINANCIAL ADVISORS, LLC  
**Company ID:** 0685188  
**State of origin:** California  
**Formation date:** 2/8/2008 12:00:00 AM  
**Date filed:** 5/5/2011 2:06:03 PM  
**Fee:** \$15.00

**Principal Office**

444 WEST 47TH STREET  
SUITE 900  
KANSAS CITY, MO 64112

**Registered Agent Name/Address**

CORPORATE CREATIONS NETWORK INC.  
101 NORTH SEVENTH STREET  
LOUISVILLE, KY 40202

**Members/Managers**

Manager Lockton LLC Management 444 W 47th St., Ste 900

**Signatures**

**Signature** William W. Humphrey, III  
**Title** Secretary