

Commonwealth of Kentucky  
Trey Grayson, Secretary of State

PARP  
0257989  
Trey Grayson  
Secretary of State  
Received and Filed  
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Trey Grayson  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Web Filing

ARP

**Company:** CAPITAL BROKERAGE CORPORATION  
**Company ID:** 0257989  
**State of origin:** Washington  
**Formation date:** 5/1/1989 12:00:00 AM  
**date filed:** 6/30/2009 3:37:39 PM  
**Fee:** \$15.00

**Principal Office**

6620 W BROAD ST  
Law Dept., Bldg. 1  
RICHMOND, VA 23230

**Registered Agent Name/Address**

CSC-LAWYERS INCORPORATING SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Current Officers**

|                |                     |                                       |
|----------------|---------------------|---------------------------------------|
| CEO            | Christopher J Grady | 6620 W. Broad St., Richmond, VA 23230 |
| President      | Christopher J Grady | 6620 W. Broad St., Richmond, VA 23230 |
| Secretary      | Thomas E Duffy      | 6620 W. Broad St., Richmond, VA 23230 |
| Treasurer      | Gary T Prizzia      | 6620 W. Broad St., Richmond, VA 23230 |
| Vice President | Geoffrey S Stiff    | 6620 W. Broad St., Richmond, VA 23230 |
| Vice President | Ward E Bobitz       | 6620 W. Broad St., Richmond, VA 23230 |

**Directors**

|          |                     |                                       |
|----------|---------------------|---------------------------------------|
| Director | Geoffrey S Stiff    | 6620 W. Broad St., Richmond, VA 23230 |
| Director | John G Apostle II   | 6620 W. Broad St., Richmond, VA 23230 |
| Director | Christopher J Grady | 6620 W. Broad St., Richmond, VA 23230 |

**Signatures**

|                  |                     |
|------------------|---------------------|
| <b>Signature</b> | Donna L. Strauss    |
| <b>Title</b>     | Assistant Secretary |