

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0257989  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
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<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** CAPITAL BROKERAGE CORPORATION  
**Company ID:** 0257989  
**State of origin:** Washington  
**Formation date:** 5/1/1989 12:00:00 AM  
**Date filed:** 6/15/2015 10:31:20 AM  
**Fee:** \$15.00

**Principal Office**

6620 W BROAD ST  
Law Dept., Bldg. 1  
RICHMOND, VA 23230

**Registered Agent Name/Address**

CSC-LAWYERS INCORPORATING SERVICE COMPANY  
421 WEST MAIN STREET  
FRANKFORT, KY 40601

**Current Officers**

Assistant Treasurer	Joseph C. Earley	6620 W. Broad St., Richmond, VA 23230
Assistant Treasurer	Lisa J Baldyga	6620 W. Broad St., Richmond, VA 23230
Assistant Treasurer	Edward A. Tepper	6620 W. Broad St., Richmond, VA 23230
President	Paul A Haley	6620 W. Broad St., Richmond, VA 23230
Assistant Secretary	Theresa A. Myers	6620 W. Broad St., Richmond, VA 23230
Assistant Secretary	Hope M. Vaughan	6620 W. Broad St., Richmond, VA 23230
Vice President	Scott R. Reeks	6620 W. Broad St., Richmond, VA 23230
Secretary	Vidal J. Torres, Jr.	6620 W. Broad St., Richmond, VA 23230
Vice President	Martin P. Klein	6620 W. Broad St., Richmond, VA 23230
Vice President	Scott E. Wolfe	6620 W. Broad St., Richmond, VA 23230
Vice President	Thomas E. Duffy	6620 W. Broad St., Richmond, VA 23230
Treasurer	Jeffrey S. Wright	6620 W. Broad St., Richmond, VA 23230

**Directors**

Director	John G Apostle II	6620 W. Broad St., Richmond, VA 23230
Director	Thomas E Duffy	6620 W. Broad St., Richmond, VA 23230
Director	Scott R. Reeks	6620 W. Broad St., Richmond, VA 23230
Director	Paul A. Haley	6620 W. Broad St., Richmond, VA 23230

**Signatures**

<b>Signature</b>	Hope M. Vaughan
<b>Title</b>	Assistant Secretary