KENTUCKY SECRETARY OF STATE P.O.BOX 718 FRANKFORT, KY 40602



Certificate of Revocation

I, Alison Lundergan Grimes, Secretary of State of the Commonwealth of Kentucky, do hereby certify that according to the records in the Office of the Secretary of State,

CAPITAL BROKERAGE CORPORATION

did not file its 2017 annual report within sixty days after it was due. Accordingly, the Secretary of State revoked the corporation's authority to transact business in Kentucky on October 9, 2017.

CAPITAL BROKERAGE CORPORATION 6620 W BROAD ST LAW DEPT., BLDG. 1 RICHMOND VA 23230

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IMPORTANT NOTICE