

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

LARP

**0854391**

**Alison Lundergan Grimes**

**KY Secretary of State**

**Received and Filed**

**6/30/2014 12:25:21 PM**

**Fee receipt: \$15.00**

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** CETERA ADVISORS INSURANCE SERVICES LLC  
**Company ID:** 0854391  
**State of origin:** Delaware  
**Formation date:** 4/4/2013 12:00:00 AM  
**Date filed:** 6/30/2014 12:25:21 PM  
**Fee:** \$15.00

**Principal Office**

400 FIRST STREET SOUTH  
STE. 300  
ST. CLOUD, MN 56301

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W. MAIN STREET  
SUITE 512  
FRANKFORT, KY 40601

**Members/Managers**

Manager	LEANN RUMMEL	400 1ST ST SOUTH ST CLOUD, MN 56301
Manager	BRETT L HARRISON	200 N SEPULVEDA BLVD EL SEGUNDO, CA 90245
Manager	AMANDA L TAWNEY	4600 S SYRACUSE ST DENVER, CO 80237

**Signatures**

<b>Signature</b>	GREG A. OLSON
<b>Title</b>	ASSISTANT SECRETARY