

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

LARP  
0199892  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
6/16/2015 3:57:05 PM  
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Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** CETERA ADVISORS LLC  
**Company ID:** 0199892  
**State of origin:** Delaware  
**Formation date:** 4/1/1985 12:00:00 AM  
**Date filed:** 6/16/2015 3:57:05 PM  
**Fee:** \$15.00

**Principal Office**

4600 SOUTH SYRACUSE STREET  
SUITE 600  
DENVER, CO 80237

**Registered Agent Name/Address**

C T CORPORATION SYSTEM  
306 W MAIN ST  
SUITE 512  
FRANKFORT, KY 40601

**Members/Managers**

|         |                  |                                                       |
|---------|------------------|-------------------------------------------------------|
| Manager | ADAM ANTONIADES  | 200 N SEPULVEDA BLVD., STE 1200, EL SEGUNDO, CA 90245 |
| Manager | ERINN FORD       | 4600 S SYRACUSE ST., STE 600, DENVER, CO 80237        |
| Manager | BRETT L HARRISON | 4600 S SYRACUSE ST., STE 600, DENVER, CO 80237        |

**Signatures**

|                  |                     |
|------------------|---------------------|
| <b>Signature</b> | GREG A OLSON        |
| <b>Title</b>     | ASSISTANT SECRETARY |