

Commonwealth of Kentucky
Michael G. Adams, Secretary of State

LARP
0199892
Michael G. Adams
KY Secretary of State
Received and Filed
6/20/2021 7:32:35 PM
Fee receipt: \$15.00

Michael G. Adams
Secretary of State
P. O. Box 1150
Frankfort, KY 40602-1150
(502) 564-3490
<http://www.sos.ky.gov>

**Annual Report
Online Filing**

ARP

Company: CETERA ADVISORS LLC
Company ID: 0199892
State of origin: Delaware
Formation date: 4/1/1985 12:00:00 AM
Date filed: 6/20/2021 7:32:35 PM
Fee: \$15.00

Principal Office

4600 SOUTH SYRACUSE STREET
SUITE 600
DENVER, CO 80237

Registered Agent Name/Address

CORPORATION SERVICE COMPANY
421 WEST MAIN STREET
FRANKFORT, KY 40601

Members/Managers

Manager	BRETT L HARRISON	4600 S SYRACUSE ST., STE 600, DENVER, CO 80237
Manager	JOSEPH NEARY	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245
Manager	THOMAS TAYLOR	200 N PACIFIC COAST HWY STE 1200 EL SEGUNDO CA 90245

Signatures

Signature	GARY CHAFFIN
Title	TAX OFFICER