Commonwealth of Kentucky Michael G. Adams, Secretary of St.

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Michael G. Adams Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

Annual Report Online Filing For the Year 2024

ARP

Company: CETERA ADVISORS LLC

Company ID: 0199892 State of origin: Delaware

Formation date: 4/1/1985 12:00:00 AM Date filed: 6/28/2024 12:09:29 PM

Fee: \$15.00

Principal Office

5299 DTC BOULEVARD

STE 800

GREENWOOD VILLAGE, CO 80111

Registered Agent Name/Address

CORPORATION SERVICE COMPANY 421 WEST MAIN STREET FRANKFORT, KY 40601

Members/Managers

MemberTom Halloran5299 DTC BLVD, STE 800, GREENWOOD VILLAGE, CO 80111MemberJOSEPH D. NEARY655 W. BROADWAY, 12TH FLOOR, SAN DIEGO, CA 92101MemberTHOMAS B. TAYLOR200 N. Pacific Coast Hwy, Suite 1300, El Segundo, CA 90245

County: Franklin Business size: Small

Business type: Holding and other Investment Offices

Signatures

SignatureGary L. ChaffinTitleAuthorized Person