

Commonwealth of Kentucky  
Alison Lundergan Grimes, Secretary of State

PARP  
0947992  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
5/24/2018 9:48:45 AM  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

Annual Report  
Online Filing

ARP

**Company:** BOFAML SECURITIES, INC.  
**Company ID:** 0947992  
**State of origin:** Delaware  
**Formation date:** 3/23/2016 12:00:00 AM  
**Date filed:** 5/24/2018 9:48:45 AM  
**Fee:** \$15.00

**Principal Office**

ONE BRYANT PARK  
NEW YORK, NY 10036

**Registered Agent Name/Address**

CT CORPORATION SYSTEM  
306 W. MAIN STREET  
SUITE 512  
FRANKFORT, KY 40601

**Current Officers**

|                |                   |   |
|----------------|-------------------|---|
| CEO            | Thomas K Montag   | 150 N College St; NC1-028-17-06, Charlotte NC 28255 |
| Secretary      | Colleen O Johnson | 150 N College St; NC1-028-17-06, Charlotte NC 28255 |
| Treasurer      | Richard S Seitz   | 150 N College St; NC1-028-17-06, Charlotte NC 28255 |
| Vice President | Erik Miller       | 150 N College St; NC1-028-17-06, Charlotte NC 28255 |

**Directors**

|          |                 |   |
|----------|-----------------|---|
| Director | Thomas K Montag | 150 N College St; NC1-028-17-06, Charlotte NC 28255 |
| Director | Fabrizio Gallo  | 150 N College St; NC1-028-17-06, Charlotte NC 28255 |

**Signatures**

|                  |             |
|------------------|-------------|
| <b>Signature</b> | Erik Miller |
| <b>Title</b>     | SVP         |