Commonwealth of Kentucky Trey Grayson, Secretary of State

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Trey Grayson Secretary of State P. O. Box 1150 Frankfort, KY 40602-1150 (502) 564-3490 http://www.sos.ky.gov

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ARP

Company: UVEST FINANCIAL SERVICES GROUP, INC.

Company ID: 0736093 State of origin: North Carolina

Formation date: 8/5/2009 12:00:00 AM Date filed: 4/27/2010 3:27:26 PM

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Principal Office

2810 COLISEUM CENTRE DRIVE

BLDG 5

CHARLOTTE, NC 28217

Registered Agent Name/Address

C T CORPORATION SYSTEM 306 W MAIN ST SUITE 512

FRANKFORT, KY 40601

Current Officers

President	Dan H Arnold	2810 COLISEUM CENTRE DRIVE BLDG 5 CHARLOTTE NC 28217
Secretary	CHAD D PERRY	ONE BEACON STREET, 22ND FLOOR BOSTON, MA 02108
Treasurer	ROBERT J MOORE	9785 TOWNE CENTRE DRIVE SAN DIEGO CA 92121

Directors

Director	DAN H ARNOLD	2810 COLISEUM CENTRE DRIVE BLDG 5 CHARLOTTE NC 28217
Director	MARK S CASADY	ONE BEACON STREET 22ND FLOOR BOSTON MA 02108
Director	ESTHER M STEARNS	9785 TOWNE CENTRE DRIVE SAN DIEGO CA 92121
Director	WILLIAM E DWYER	ONE BEACON STREET 22ND FLOOR BOSTON MA 02108
Director	ROBERT J MOORE	9785 TOWNE CENTRE DRIVE SAN DIEGO CA 92121

Signatures

Signature CHAD D PERRY
Title SECRETARY