

**Commonwealth of Kentucky**  
**Alison Lundergan Grimes, Secretary of State**

LARP  
**0863694**  
Alison Lundergan Grimes  
KY Secretary of State  
Received and Filed  
**6/19/2014 4:16:57 PM**  
Fee receipt: \$15.00

Alison Lundergan Grimes  
Secretary of State  
P. O. Box 1150  
Frankfort, KY 40602-1150  
(502) 564-3490  
<http://www.sos.ky.gov>

**Annual Report  
Online Filing**

**ARP**

**Company:** E\*TRADE SECURITIES LLC  
**Company ID:** 0863694  
**State of origin:** Delaware  
**Formation date:** 8/2/2013 12:00:00 AM  
**Date filed:** 6/19/2014 4:16:57 PM  
**Fee:** \$15.00

**Principal Office**

1271 AVENUE OF THE AMERICAS  
14TH FLOOR  
NEW YORK, NY 10020

**Registered Agent Name/Address**

CSC-LAWYER'S INCORPORATING SERVICE COMPANY  
421 W. MAIN  
FRANKFORT, KY 40601

**Members/Managers**

Manager	JOHN MATOS	1271 AVENUE OF THE AMERICAS 14TH FLOOR NEW YORK NY 10020
Manager	NAVTEJ S. NANDRA	1271 AVENUE OF THE AMERICAS 14TH FLOOR NEW YORK NY 10020
Manager	MICHAEL FOLEY	1271 AVENUE OF THE AMERICAS 14TH FLOOR NEW YORK NY 10020

**Signatures**

<b>Signature</b>	LORI SHER
<b>Title</b>	SECRETARY